



## More on ciphers discussed

- Shift cipher is a special case of affine cipher with  $a=1$ .
- Both have small key space.
- Substitution cipher has a much larger key space.
- all ciphers discussed so far belong to "mono-alphabetic cryptosystems" . (so what ?)

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## Polyalphabetic Ciphers

- Plaintext message can be transformed into more than one cipher elements.
- Why it is desirable ?
- all mono-alphabetic cryptosystems can be "attacked" by a simple frequency analysis.
  - How ?
- Example of poly-alphabetic cryptosystems.

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## Vigenere cipher

- Encrypt  $m$  characters at a time.
- $P=C=K=(\mathbb{Z}_{26})^m$
- keyword of length  $m$ :  $\mathbf{k} = (k_1, k_2, \dots, k_m)$
- plaintext of length  $m$ :  $\mathbf{x} = (x_1, x_2, \dots, x_m)$
- ciphertext of length  $m$ :  $\mathbf{y} = (y_1, y_2, \dots, y_m)$
- $e_k(x_i) = x_i + k_i \pmod{26}$ ,  $i = \text{'position'}$
- $d_k(y_i) = y_i - k_i \pmod{26}$

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## Vigenere cipher Example

- Example 1.4 (pages 12-13)
- $m=6$ ,
- keyword  $k=CIPHER=(2,8,15,7,4,17)$

t	h	i	s	c	r	y	p	t	o	s	y	s	t	e	m
19	7	8	18	2	17	24	15	19	14	18	24	18	19	4	12
2	8	15	7	4	17	2	8	15	7	4	17	2	8	15	7
21	15	23	25	6	8	0	23	8	21	22	15	20	1	19	19
86	80	88	90	71	73	65	88	73	86	67	80	85	66	84	84
V	P	X	Z	G	I	A	X	I	V	W	P	U	B	T	T

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## Hill cipher (1929)

- another polyalphabetic cryptosystem
- encrypt  $m$  characters at a time.
- $P=C=(Z_{26})^m$
- $K=(Z_{26})^{m \times m}$ , key  $K$  is a  $m \times m$  matrix.
- plaintext of length  $m$ :  $\mathbf{x} = (x_1, x_2, \dots, x_m)$
- ciphertext of length  $m$ :  $\mathbf{y} = (y_1, y_2, \dots, y_m)$
- $e_k(\mathbf{x}) = \mathbf{x} \mathbf{K} \pmod{26}$ ,
- $d_k(\mathbf{y}) = \mathbf{y} \mathbf{K}^{-1} \pmod{26}$ .
- Need matrix multiplication, matrix inverse.

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## Hill cipher Example

- Example 1.5 (pages 14-16)
- $m=2$
- Plaintext  $\mathbf{x}=(x_1, x_2)$
- Ciphertext  $\mathbf{y}=(11x_1+3x_2, 8x_1+7x_2)$ .
- Find  $2 \times 2$  matrix  $K$  ?  $\mathbf{y} = \mathbf{x} \mathbf{K}$ .
- Find matrix inverse  $\mathbf{K}^{-1}$ ?
  - $\mathbf{x}=\mathbf{y} \mathbf{K}^{-1} \pmod{26}$ .

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## Hill cipher Example

- Inverse of 2x2 matrix (mod 26) should be easy. [Formula ?]
- plaintext: july = [(9,20), (11,24)]
- ciphertext: [(9,20)K, (11,24)K] mod 26 = [(3,4), (11,22)] = DELW [check!]
  
- Q: Inverse of mxm matrix (mod 26) ?
- A: Theorem 1.3, Example 1.6 (p 17)

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## Affine-Hill cipher

- Ex 1.24 (page 42): multi-dim affine cipher.
- $P=C= (\mathbb{Z}_{26})^m$
- $K= (\mathbb{Z}_{26})^m \times (\mathbb{Z}_{26})^{m \times m}$ ,
  - key **A** is a mxm matrix.
  - with another key vector  $\mathbf{b} = (b_1, b_2, \dots, b_m)$
- plaintext of length m:  $\mathbf{x} = (x_1, x_2, \dots, x_m)$
- ciphertext of length m:  $\mathbf{y} = (y_1, y_2, \dots, y_m)$
- $e_k(\mathbf{x}) = \mathbf{x} \mathbf{A} + \mathbf{b} \pmod{26}$ ,
- $d_k(\mathbf{y}) = (\mathbf{y} - \mathbf{b}) \mathbf{A}^{-1} \pmod{26}$ .

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## Permutation Cipher

- Also called **Transposition Cipher**
- Instead of replacing one text with another text, it alters the position of a block of **m** characters using a (secret) permutation table.

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## Permutation Cipher

- e. g. 1.7. (page 19)  $m=6$ : divide plaintext into blocks of 6 characters.
- permutation table as given.
- $x \rightarrow \pi(x)$ ,  $x$  is the position, not the character.

$x$	1	2	3	4	5	6
$\pi(x)$	3	5	1	6	4	2

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## Example 1.7 (Permutation)

$x$	1	2	3	4	5	6
$\pi(x)$	3	5	1	6	4	2

Plaintext:  
she sells seashells by the sea shore  
Ciphertext:  
eeslsh salses lshble hsyeeet hraeos

p-1	p-2	p-3	p-4	p-5	p-6
s	h	e	s	e	l
l	s	s	e	a	s
h	e	l	l	s	b
y	t	h	e	s	e
a	s	h	o	r	e

Q-1	Q-2	Q-3	Q-4	Q-5	Q-6
p-3	p-5	p-1	p-6	p-4	p-2
e	e	s	l	s	h
s	a	l	s	e	s
l	s	h	b	l	e
h	s	y	e	e	t
h	r	a	e	o	s

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## Permutation "this is a final"

- **thisis**  $\rightarrow [3(i)5(i)1(t)6(s)4(s)2(h)] = \mathbf{IITSSH}$
- **afinal**  $\rightarrow [3(i)5(a)1(a)6(l)4(n)2(f)] = \mathbf{IAALNF}$
- **IITSSH**  $\rightarrow [3(T)6(H)1(I)5(S)2(I)4(S)] = \mathbf{thisis}$
- **IAALNF**  $\rightarrow [3(A)6(F)1(I)5(N)2(A)4(L)] = \mathbf{afinal}$

$x$	1	2	3	4	5	6
$\pi(x)$	3	5	1	6	4	2
$\pi^{-1}(x)$	3	6	1	5	2	4

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## Permutation vs. Hill Cipher

- textbook (page 20) : permutation cipher is a special case of Hill cipher with  $m \times m$  matrix  $K$  defined according to the permutation matrix
  - $K = (k_{ij})$ ,  $k_{ij} = 1$  if  $i = \pi(j)$ .
  - Inverse matrix of  $K$  is the permutation matrix corresponding to  $\pi^{-1}(x)$ .
- Q: true ? verify ?

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## Stream cipher

- Block cipher (textbook definition): plaintext are encrypted using the same key  $K$ .
  - (other popular definition): group of plaintext symbols (block) transformed into one block of cipher symbols
- Stream cipher: (textbook definition): plaintext are encrypted using the a keystream.
  - (other popular definition): each plaintext symbol immediately transformed into one cipher symbol.

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## Synchronous Stream cipher

- Six-tuples:  $(P, C, K, L, E, D)$
- $P$ : finite set of possible plaintexts
- $C$ : finite set of possible ciphertexts
- $K$ : keyspace, finite set of possible keys
- $L$ : keystream alphabets,
  - $g: K \rightarrow L$ , keystream generator,  $z_i = g(\cdot) \in L$ .
- $E$ : set of  $e_z(m)$ : encryption  $P \rightarrow C$ ,  $z \in L$
- $D$ : set of  $d_z(c)$ : decryption  $C \rightarrow P$  such that  $d_z(e_z(m)) = m$ .

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## Stream vs. block cipher (textbook definition)

- block cipher is a special case of stream cipher with a constant key stream.
- Vigenere cipher is another special case of stream cipher with a **periodic** key stream.  
[larger period  $m$  is preferred. why ? ]
  - $(k_1, k_2, \dots, k_m) \dots (k_1, k_2, \dots, k_m)$
  - keystream generator:  $z_i = k_i, 1 \leq i \leq m; z_i = z_{i-m}, i > m.$
  - Q: how to generate a long period key stream ?

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## stream and block cipher (other popular definition)

- Speed of transformation
  - stream cipher is faster
- Problem of error propagation
  - block cipher tends to propagate its errors.
- Diffusion of plaintext information
  - block cipher is much better.
- Immunity to insertion of symbols.
  - block cipher is much better.

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## keystream generator (LFSR)

- Consider only binary alphabets.
- $P=C=L=Z_2 = \{0, 1\}.$
- $K = (Z_2)^m$ ,  $m =$  "degree" or "order" of the recurrence equation
  - $z_{i+m} = c_0 z_i + c_1 z_{i+1} + \dots + c_{m-1} z_{i+m-1} \text{ mod } 2$
  - $z_{i+m} = c_0 z_i \oplus c_1 z_{i+1} \oplus \dots \oplus c_{m-1} z_{i+m-1}$ 
    - $c_0 = 1$ , other  $c_i$  is 0 or 1.
    - Max period =  $2^m - 1$ . How to choose ?

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## keystream generator example

- e.g. 1.8 (page 23)
- $m=4$ , linear recurrence equation
 
$$z_{i+4} = z_i + z_{i+1} \pmod{2}.$$
- initial stream (1,0,0,0):
  - 100010011010111... (show!, period ?)
- initial stream (1,1,1,1):
  - 1111... (complete it!)

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## keystream generator e.g. 1.8

i	$z(i)$	$z(i+1)$	$z(i+2)$	$z(i+3)$	$z(i+4)$
0	1	0	0	0	1
1	0	0	0	1	0
2	0	0	1	0	0
3	0	1	0	0	1
4	1	0	0	1	1
5	0	0	1	1	0
6	0	1	1	0	1
7	1	1	0	1	0
8	1	0	1	0	1

period length=15= $2^m-1$

9	0	1	0	1	1
10	1	0	1	1	1
11	0	1	1	1	1
12	1	1	1	1	0
13	1	1	1	0	0
14	1	1	0	0	0
15	1	0	0	0	1
16	0	0	0	1	0
17	0	0	1	0	0

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## keystream generator period

i	$z(i)$	$z(i+1)$	$z(i+2)$	$z(i+3)$	$z(i+4)$
0	1	1	1	1	0
1	1	1	1	0	0
2	1	1	0	0	0
3	1	0	0	0	1
4	0	0	0	1	0
5	0	0	1	0	0
6	0	1	0	0	1
7	1	0	0	1	1
8	0	0	1	1	0

period length=15= $2^m-1$

9	0	1	1	0	1
10	1	1	0	1	0
11	1	0	1	0	1
12	0	1	0	1	1
13	1	0	1	1	1
14	0	1	1	1	1
15	1	1	1	1	0
16	1	1	1	0	0
17	1	1	0	0	0

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## Autokey Cipher

- non-synchronous stream cipher.
  - $z_i$  in the key stream depends on its prious plaintexts or ciphertexts.
- Autokey Cipher:
  - $P=C=K=L=Z_{26}$ .
  - $z_1=K$ . For  $i>1, z_i = x_{i-1}$ .
  - $e_z(x) = (x+z) \text{ mod } 26$ .
  - $d_z(x) = (y-z) \text{ mod } 26$ .

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## Example 1.9 (pages 24-25)

plain	r	e	n	d	e	z	v	o	u	s
code	17	4	13	3	4	25	21	14	20	18
key	8	17	4	13	3	4	25	21	14	20
e(x)	25	21	17	16	7	3	20	9	8	12
cipher	Z	V	R	Q	H	D	U	J	I	M

  

y	25	21	17	16	7	3	20	9	8	12
d(y)	17	4	13	3	4	25	21	14	20	18
	25-K	21-17	17-4	16-13	7-3 mod 26	3-4 mod 26	20-25 mod 26	9-21 mod 26	8-14 mod 26	12-20 mod 26
plain	r	e	n	d	e	z	v	o	u	s

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## Summary and HW1

- What we have learned so far ?
- Various ciphers ?
- Mathematical tools ?
  
- HW1: 1.1, 1.5, 1.10, 1.15, 1.16, 1.18, 1.23.

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